



Prof. Rajendra Singh (Rajju Bhaiya) University, Prayagraj

ORDINANCE

DOCTOR OF PHILOSOPHY

Revised in light of the University Grants Commission (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degrees Regulations, 2016 with amendments of August 27, 2018 and October 16, 2018)

1. Short title, Application and Commencement:

- (a) In exercise of the powers conferred under sub-clause 26.3 of Clause 26 of Allahabad State University Ordinance for Research Programme Leading to the Award of the Degree of Doctor of Philosophy (Ph.D.) Ordinance, 2017, the Vice-Chancellor of Prof. Rajendra Singh (Rajju Bhaiya) University, Prayagraj hereby makes the following Ordinance to amend to the same.
- (b) This Ordinance may be called the "**Prof. Rajendra Singh (Rajju Bhaiya) University ordinance for Award of the Degree of Doctor of Philosophy (Ph.D.) (1st amendment), 2021**".
- (c) This Ordinance shall come into force with effect from the date of its notification by Academic Council. With the enforcement of this ordinance, existing ordinance shall be repealed.

General Guidelines:

2. No Ph.D. scholar shall join any other course/study or appear at any other examination leading to a degree, except Certificate course(s), during his/her Ph.D. programme.
3. A candidate for the degree of Doctor of Philosophy must, at the time of application, hold a Master's degree or equivalent in the concerned or allied subject with at least 55% Marks (50% for SC/ST/OBC(non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the UGC from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991.) of the University, a deemed University or other University incorporated by any law for the time being in force and recognized by the University.

*For Candidates belonging to the following faculties, eligibility of the candidate will be decided as follows:

- a. Faculty of Ayurveda – As per CCIM norms.
- b. Faculty of Medicine –
 - I. Allopathy subjects – As per MCI norms.
 - II. Nursing subjects – As per INC norms.
 - III. Homeopathy subjects – As per CCH norms.
4. A candidate shall ordinarily be permitted to work for Ph.D. Degree in the subject in which he/she has obtained his/her Master's Degree.

Provided that, research work leads to Ph.D. Degree may be allowed in allied subject of interdisciplinary nature of the same faculty or of allied faculties. In such cases, candidates may be permitted to work under one or two co-supervisors along with the supervisor.

5. Candidates shall be admitted through an entrance test followed by an interview. The students who have qualified UGC-JRF / UGC-CSIR-JRF / teacher fellowship holder or any other Government / Foreign research fellowship holder or have passed M.Phil. programme (as per UGC Regulations 2009 or amended thereafter) with course work shall be exempted from the entrance test but they have to appear in the interview.
6. The university shall annually decide well in advance the number of seats available for Ph.D. programme in each subject. The university shall notify on its website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in Hindi language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.
7. While assessing the number of vacancies under a supervisor, the actual date of submission of Ph.D. thesis under his/her supervision shall be taken into account.

8. Procedure of Admission:

- a. In response to the advertisement or notification of the university, the candidate desirous of seeking admission to Ph.D. programme shall be required to submit application on prescribed form along with prescribed entrance test fee and supportive documents to the office of the Head of the proposed centre of entrance test/Chairman, Ph.D. Admission within the given time period.
- b. Candidates shall be admitted through the **Doctoral Entrance Test (DET)**, which shall be conducted for each subject separately at the University Teaching Departments or other venues as decided by the University.

Provided that, the Vice Chancellor may assign to the allied or to any University Teaching Department to conduct the DET for the subjects available only in the colleges.

- c. The Vice Chancellor shall constitute a **Departmental Research Advisory Committee (RAC)** to conduct the DET comprising of the following members:
 1. Dean of the concerned faculty - Chairperson
 2. Convener, Board of studies - Member
 3. Head of the Department/ Head of Research Centre - Member & Co-ordinator
 4. One subject Supervisor from the UTD (if available) - Member
to be nominated by the Vice Chancellor
 5. One subject Supervisor from the Research Centre to be - Member
nominated by the Vice Chancellor

If the Dean of the faculty is not available, the Vice Chancellor shall appoint any other Dean or a Senior Professor of the University to chair the Research Advisory Committee.

Chairman and two other members shall form the quorum. In case quorum is not complete then the Vice Chancellor may nominate one or two subject experts in the relevant field(s) from the same university / other university to form the quorum. University may also conduct the **DET** in different subjects through a committee constituted by the Vice Chancellor.

d. The functions of the RAC shall be as follows:

1. To Coordinate with Chairman Ph.D. Admission
2. To scrutinize the applications.
3. To arrange the Doctoral Entrance Test.
4. To conduct the interview.
5. To submit the final list of candidates.
6. Any related work assigned by the Vice-Chancellor.

9. (a) Entrance Test:

The Doctoral Entrance Test will have the question paper in two parts. Part-A shall be associated with research methodology. It shall be of generic nature, intended to assess the research aptitude of the candidate. It will primarily be designed to test reasoning ability, data interpretation, and quantitative aptitude of the candidate. Part-A may be common within the same faculty. Part-B shall be associated with concerned subject of the research. The syllabus of both parts of the question paper shall be approved by the concerned Board of Studies. The Paper shall be prepared by a panel of examiners recommended by the Board of studies/Examination Committee of the concerned subject and approved by the Vice Chancellor.

The candidates must score minimum 50% marks (45% for SC/ST/OBC(Non-Creamy layers)/Differently-abled category) in the entrance test to qualify for the interview. A list of the eligible candidates for interview shall be prepared by the RAC on the basis of the result of the Doctoral Entrance Test and the same shall be submitted for notification.

(b) Interview:

The successful candidates of the Doctoral Entrance Test shall have to appear in the interview. The interview shall be conducted by the Research Advisory Committee.

The candidates are expected to bring the No Objection Letter from the proposed Supervisor and Co-supervisor (if applicable) duly forwarded from the Head of the research centre (s).

The candidates are required to discuss their research interest / area through a presentation before a duly constituted Research Advisory Committee. The interview/viva-voce shall also consider the following aspects, viz. whether:

- the candidate possesses the competence for the proposed research;
- the research work can be suitably undertaken at the research centre;
- the proposed area of research can contribute to new/additional knowledge.

The evaluation in interview will be based on the following criteria, each of equal marks:

- (i) Research plan
- (ii) Research potential/ aptitude
- (iii) Communication skills
- (iv) Subject knowledge in the respective area of research.

The Chairperson, RAC, will allocate the marks in each of above criterion after consensus. In case of disagreement among the members the average of the marks given by individual member will be the final marks in the criterion. **The final Merit list will be prepared on the basis of the 70% of marks obtained in written examination and 30% of marks obtained in the interview.** The RAC shall decide the final cut-off marks in interview for admission in Ph.D.

The RAC shall finalize the list of the candidates to be admitted to the Ph.D. programme in the concerned subject as per the merit and availability of seats. It shall also allot the Research centre, Supervisor, Co-Supervisor (if any), and approve the tentative area of the proposed research work. The final list shall be submitted to the Registrar. After the approval of the Vice Chancellor, the list shall be notified.

Note: (i) While granting admission to Ph.D. programme, the RAC will pay due attention to the State Reservation Policy.

(ii) The RAC should also see that only the predetermined number of students shall be admitted to Ph.D. programme.

10. Allocation of Supervisor:-

The allocation of the supervisor for a selected student shall finally be decided by the RAC in a formal manner depending on the available seats with the supervisor, the available specialization among the supervisors and the research interest of the student as indicated during the interview.

In case Supervisor is not working at the research centre a Co-supervisor may be allotted from the research centre to guide and monitor the research scholar at the centre. In case Supervisor / Co-supervisor belongs to the Research Laboratory recognized by the University as research centre through MoU then respectively Co-supervisor/ Supervisor will be allotted from the UTD with which Research Laboratory has the MoU.

In case of topics which are of inter-disciplinary nature where the RAC feels that the expertise in the research centre has to be supplemented from outside, the RAC may appoint a Research Supervisor from the research centre, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Research centre/ Faculty / College/ University on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.

11. Process of Registration:-

(a) The candidates selected for Ph.D. programme are required to apply for the Ph.D. registration on prescribed proforma (**Appendix-I**). The duly filled registration form along with the necessary documents and certificates shall be forwarded by the research centre after verifying the payments of the following fees:

- (i) Registration fee
- (ii) Ph.D. Course work fee
- (iii) Research Centre fee for six months
- (iv) Research Centre Caution Money (payable once only and refundable)
- (v) University Library fee for six months
- (vi) University Library caution money (payable once only and refundable)
- (vii) IT Infrastructure fee for six months
- (viii) Research Laboratory fee for six months (where laboratory work is involved)
- (ix) Identify card fee
- (x) Any other fee as decided by the university.

Duly forwarded Ph.D. registration form should be submitted to the University and a photocopy of the same form and documents should be submitted to the Research Centre.

(b) Course Work: - The candidates already completed the course work with at least 55% of marks in M.Phil. and admitted to the Ph.D. programme shall be exempted from the Ph.D. course work and its fee. All other candidates admitted to the Ph.D. programme and submitted the Ph.D. registration form shall be required to complete the course work of 16 credits as prescribed by the University/ Research Centre

during initial one or two semesters. The course work shall be treated as prerequisite for Ph.D. preparation. All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. The examination and evaluation scheme for Ph.D. course work shall be as per the examination and evaluation scheme of the University applicable to the other programmes of the UTDs.

The Ph.D. course work shall contain the following courses:

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|---|-----------|
| (i) Research Methodology | 4 credits |
| (ii) Review of Published Research in the relevant field | 3 credits |
| (iii) Computer Applications | 3 credits |
| (iv) Advance course in the relevant subject | 3 credits |
| (v) Comprehensive Viva-Voce | 3 credits |

The course on Research Methodology should cover areas such as statistical research methods, research ethics, research report writing, etc. The course on Review of Published Research in the relevant field will be undertaken under the supervisor or the regular teacher of the centre of course work and the candidate has to consult the library or other resources to carry out the literature review. At the end of the semester the candidate has to submit a brief report on the literature review for evaluation, which will be done by the two examiners. The course on computer applications will include the computer applications helpful in the relevant subject. The advanced course in the relevant field shall comprise the topics related to the subject of research. The syllabus of the courses on research methodology, computer applications, and advanced course shall be decided by the concerned Board of Studies.

The final grades shall be submitted to the University. The University shall issue the certificate of the Ph.D. course work in the prescribed format **(Appendix-II)**.

- (c) The course work shall be conducted in the University Teaching Departments/ Research centers as approved by the Vice chancellor.
- (d) If found necessary, course work may be carried out in sister UTD/ Institute within the University for which due credit will be given.
- (e) If a student obtains F or Ab Grade in a course/ subject, he /she will be treated to have failed in that course. He / she have to reappear in the examinations of the course as and when conducted or arranged by the UTD in the next semester. Marks obtained earlier in continuous assessment may be carried forward and added to the marks obtained in repeat end-semester examination to decide the grade in the repeat course. The student has to pay the prescribed fee for repeating the course. If he/she further fails in the course, he/she shall not be given another chance and he/ she shall be out of the Ph.D. programme. No student shall be allowed to repeat the course to improve the grade if he/ she pass the course.
- (f) The candidate has to obtain a minimum of 55% of marks or its equivalent grade points in aggregate in the course work in order to be eligible to continue in the Ph.D. programme.

12. Research Degree Committee (RDC):

After successful completion of the Ph.D. course work the students shall submit the copy of the certificate of the course work and synopsis of the proposed research work in the prescribed Proforma (**Appendix-III**) to the University within stipulated time from the date of declaration of result of the Ph.D. course work.

The University shall conduct the meeting of the **Research Degree Committee (RDC)** consisting of the following members:

- (i) Vice Chancellor or his nominee - Chairman
- (ii) Dean of the Faculty.
- (iii) Head of the University Teaching Department/Head of the Research Centre.
- (iv) Convener, Board of Studies in the Subject.
- (v) One external subject expert of the rank of University Professor to be appointed by the Vice Chancellor, ordinarily out of a panel of 5 experts given by the Convener of the Board of Studies concerned. The term of panel shall be coterminous with the Convener, Board of Studies.

External expert and two other members shall form the quorum.

The candidate shall make an oral presentation of his/ her proposed research work before RDC.

Note: - On the request of the supervisor, Vice Chancellor may permit him / her to be present as an observer during the oral presentation of his/ her candidate.

The RDC shall recommend the topic of research and the date of registration of the candidate for the Ph.D. degree. On approval by the RDC, the candidate shall be registered and enrolled as a Ph.D. student from the date on which candidate deposited the registration fee. Candidate will also be required to pay regular tuition, library, IT centre and laboratory fees (six monthly) during his research tenure.

Provided that, if the RDC approves the topic and suggests a minor change, then the candidate shall be allowed to submit a revised synopsis through the Convener, Board of Studies and Dean of the faculty.

13. If the RDC does not approve/recommend a candidate for registration to Ph.D. Candidate shall be allowed to make an oral presentation again in the next RDC. In such case, date of registration shall be as per the recommendation of RDC.

Provided that, if candidate fails to be present or satisfy the RDC for the second time, his / her case will be rejected / cancelled. In such case, the caution money deposited by the candidate shall be refunded.

14. A candidate shall pursue his/her research at the allotted research centre.

15. In case of any dispute in the RDC regarding allied subjects of interdisciplinary nature, the case should be referred to the Academic council. The Vice Chancellor may constitute a committee in this regard and the report of the committee should be placed before the Academic council/standing committee for decision.

16. The meeting of the Research Degree Committee shall be held by the University Office twice a year preferably in a gap of six months. The committee shall recommend the eligibility of the person for the appointment as Supervisor/ Co-supervisor and prepare a list accordingly. This list shall be available with the Registrar.

Provided that, a candidate permitted to work in a research establishment recognized by the university shall be required to take one Supervisor/ Co-Supervisor from the institution/ research establishment where the candidate is actually working.

Also provided that, a candidate may be permitted to carry out his/her practical work in a Research Institution/Research Laboratory/Laboratory of a University for the purpose, under the supervision of a Scientist/ Teacher of the Institution who may or may not be the Co-supervisor of the candidate.

17. Eligibility of Supervisors/Co-supervisors:

(i) A regular Professor of the University/College or Professor equivalent of the Research Establishment/Laboratory with at least five research publications in refereed journals and a regular Associate Professor or equivalent /Assistant Professor or equivalent of the university/college/ Research establishment with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor/ Co-supervisor.

(ii) Only a full time regular teacher of the concerned University/ College of the research establishment recognized as research centre can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the RDC.

(iii) A Research Supervisor who is a Professor or equivalent, at any given point of time, can guide upto a maximum of Eight (8) Ph.D. scholars. An Associate Professor or equivalent as Research Supervisor can guide upto a maximum of six (6) Ph.D. scholars and an Assistant Professor or equivalent as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

(iv) Maximum number of Ph.D. students can work under a Co-Supervisor will be same as under Supervisor mentioned above in column (iii). However, the Vice-Chancellor may increase the number of Ph.D. students under a Co-Supervisor under very special circumstances.

(v). In case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done. Such cases shall be decided by the RDC. The candidate has to pay prescribed fees to the university where she is being transferred.

*For persons belonging to the following faculties, eligibility of Supervisors/ Co-Supervisors will be decided as follows:

a. Faculty of Ayurveda	- As per CCIM norms.
b. Faculty of Medicine	-
I. Allopathy subjects	- As per MCI norms.
II. Nursing subjects	- As per INC norms.
III. Homeopathy subjects	- As per CCH norms.

(vi) A recognized Supervisor/ Co-supervisor who fails to publish any research paper over duration of five years shall not be eligible to enroll any new candidate under his / her Supervision.

(vii) A regular teacher/ scientist/ scholar who wants to get himself / herself recognized as a Supervisor/Co-Supervisor shall apply in the prescribed format (**Appendix-IV**) duly forwarded by the relevant

Head/Principal/Director to the RDC along with all necessary documents. The RDC shall take necessary action on the received applications and decision of the RDC shall be final.

Provided that, the regular teacher who has been recognized as Supervisor/Co-supervisor under the repealed ordinance shall continue to be recognized as Supervisor /Co-Supervisor.

Provided further that, a person who is registered for Ph.D. degree in any University shall not be eligible to act as Supervisor/ Co-supervisor or member of any committee mentioned in this Ordinance.

(viii) A Faculty member who has superannuated, cannot be allowed to enroll any new/fresh research scholars. However, She/he can continue to be the Supervisor of already registered candidates even after his/her superannuation provided the RAC is convinced of his/her availability for continued guidance to the candidate.

18. The candidate shall pursue his/her research at the approved place of research under the supervisor/Co-supervisor on the approved subject. The Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.

The candidate shall put in at least 200 days attendance after completing course work in the Institution concerned or with the Supervisor.

The candidate shall be permitted to submit his/her thesis not earlier than three years and not later than six calendar years, from the date of registration. In case a candidate does not submit his/ her thesis within six calendar years from the date of registration and does not apply for extension in time, his/her registration shall stand automatically cancelled.

The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

Provided that the period for submission of thesis can be extended by one year by the Vice Chancellor, if he/she applies for extension at least one month before the expiry of registration period together with a prescribed fee. In case the candidate does not submit his/her thesis within the extended period his/her registration stand automatically cancelled.

Provided also that, Vice Chancellor may permit a candidate to get re-registered on the same topic on payment of re-registration fee. The minimum period of three years and attendance shall not apply to such reregistered candidates.

19. A candidate may be allowed to change the Supervisor by the Vice Chancellor on the recommendation of the RAC or Convener Board of Studies and Dean of the faculty under special circumstances. No major change in the topic of research will be permitted due to change of supervisor.

20. (a) The University shall obtain every six months a record of attendance, receipt of fees paid and a progress report on a prescribed format (**Appendix-V**) of the work of the Research Scholar from his supervisor.

(b) If the progress of work is not found satisfactory in two successive reports or no reports are received for a period of one year and the candidate fails to deposit the fee, the Vice Chancellor may order the removal the name of the Scholar from the list of those registered for the Ph.D. Degree.

Evaluation and Assessment Methods, minimum standards for award of the degree:

21. Pre- Submission Seminar:

The candidate shall make a presentation to the Department/research centre prior submission of the research summary, the presentation shall be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the thesis in consultation with the supervisor/ co-supervisor.

If the research centre is satisfied with the Pre-Submission seminar of the candidate, the Head of the Department shall forward the application of the candidate for submission of the thesis along with a certificate about the satisfactory completion of the Pre-Submission seminar (**Appendix-VI**) and the exact title of the thesis to the Registrar, through the Dean of the respective Faculty.

On the advice of the Supervisor and Co-Supervisor (if any) minor changes in the thesis title may be approved by the Research Advisory Committee.

22. Ph.D. scholars must publish minimum two (2) research papers from his/her Ph.D. work, out of which at least one (1) in refereed journal and make two paper presentations in conferences / seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

23. The Panel of Examiners for Evaluating the Ph.D. Thesis:

(a) The candidate shall submit six copies of the summary of the thesis together with a list of research papers published/communicated for publication, if any, through his/her Supervisor to the Registrar about three months prior to the anticipated date of submission of thesis.

(b) The Supervisor shall submit a panel of at least six names of examiners actively engaged in the concerned area of research not below the rank of Associate Professor (Reader) or college Professor in a sealed envelope to the Registrar.

Provided that the panel of examiners shall be obtained from the Head University Teaching Department/Research Centre/Convener Board of Studies of the subject concerned, in case the candidate is related* to the Supervisor.

(c) On the receipt of the panel of examiners from the Supervisor and summary from the candidate, the Registrar shall call a meeting of Board of Studies/Examination committee of the subject concerned. Considering the panel submitted by the Supervisor, Board of Studies/Examination committee of the subject concerned shall prepare a panel of six examiners.

(d) The Vice Chancellor shall appoint out of the panel submitted by the Board of Studies/Examination Committee, four examiners in order of preferences. Out of which at least two shall be from out of the state. The consent of examiners shall be obtained by sending them the summary and list of publications.

Note: - Supervisor/Head of the department/ Convener, Board of Studies as well as Examination Committee can recommend the name of foreign examiners. In case a foreign examiner appointed by the Vice Chancellor and the candidate wishes the thesis to be sent by AIR MAIL he/she shall have to bear the charges on AIRMAIL.

*The term relations shall include: Father, Mother, Wife, Husband, Daughter, Son, Grandson, Granddaughter, Brother, Sister, Nephew, Niece, Grandnephew/ Grandniece, Uncle, Aunt, Son-in-law, sister-in-law, father-in-law, mother-in-law, first cousin-in-law etc.

24. Submission of the Thesis: The candidate shall submit thesis submission form (**Appendix-VII**) along with four copies of hardbound thesis and soft copy in the form of CD/PD with the following:-

- (a) Minimum two Research Papers from his/her Ph.D. work, out of which at least one (1) in refereed journal and two research papers presented in conferences / seminars along with evidence for the same in the form of presentation certificates and/or reprints.
- (b) The University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting the thesis for evaluation, the thesis shall have a declaration from the research scholar, forwarded by the Supervisor and/ or Co-Supervisor), stating the originality of the work, vouching that there is no plagiarism, work done under the Supervisor / Co-supervisor at the approved place of the work for the required period as per the provisions of the Ph.D. Ordinance, and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution (**Appendix-VIII**).
- (c) The Certificate from the Supervisor together with Co-supervisors, if any, that the thesis fulfills the requirements of the ordinance relating to the Ph.D. Degree of the University (**Appendix-IX**).
- (d) The candidate shall also remit with the thesis a prescribed examination fee.

25. On receipt of the thesis along with the certificates and fee, it shall be sent to the examiners. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in the jurisdiction of the University, of whom one examiner may be from outside the country.

26. The Thesis Evaluation: The thesis to be accepted for the award of the Ph.D. degree must comply with the following conditions:

- (a) It must be a piece of research work characterized either by the discovery of the facts or by a fresh approach towards the interpretation of facts in either case it should evince the candidate's capacity for critical examination and sound judgment.
- (b) It must be satisfactory in point of language and presentation of the subject matter.

27. The examiners shall categorically recommend in the prescribed proforma (**Appendix-X**) the acceptance/revision or rejection of the thesis together with detailed comments on the points spelled out in clause 26 of this Ordinance. The examiner must also give a list of the questions to be asked at Viva-voce examination.

28. The Vice Chancellor can recall the thesis from an examiner who fails to send the report within two months of the date of dispatch of the thesis and appoint another examiner.

29. (a) In case both external examiners reject the thesis or one of them rejects the thesis and the other recommends a revision, the thesis shall be rejected.

(b) If both the examiners recommend for the revision of the thesis, the candidate shall be called upon to revise the thesis in the light of the observations of examiners.

(c) If one examiner approves the thesis and the other rejects it or recommends for revision the thesis shall be sent to the third examiner drawn from the panel of examiners approved by the Vice Chancellor

without the reports of earlier examiners. The third examiner shall be asked to give his/her opinion and this opinion shall be final.

(d) In case both the original examiners accept the thesis for the award of the Ph. D. Degree or in the event of it being referred to the third examiner, the third examiner accepts the thesis for the award of the Ph.D. Degree, the candidate shall be called upon to appear at the viva-voce examination before a board of examiners comprising of the Supervisor / Co-supervisor (if any) and one of the two examiners (appointed by the Vice Chancellor) who have accepted the thesis for the award of the Ph.D. degree.

Provided that the Vice Chancellor shall appoint Head of the Department/Research Centre or Convener, Board of Studies of the subject concerned to act as viva-voce examiner in place of the Supervisor, in case the candidate is related to the Supervisor.

Viva-Voce:

(e) The Supervisor/Head University Teaching Department/Research Centre/ Convener, Board of Studies of the subject concerned, as the case may be, shall be communicated the name of the external examiner appointed by Vice Chancellor to conduct the viva-voce examination. The date fixed for the viva-voce be informed to the candidate and the Registrar.

Provided that (looking to the) in special circumstances, Vice Chancellor may appoint alternate viva-voce examiner if both the examiners are not in a position to conduct the viva-voce examination.

(f) The viva- voce examination shall be conducted at the University Teaching Department/research centre / or at any place and also through Video Conference, in case the candidate is unable to give Viva at the centre, fixed by the University for the purpose. The date, time and place of viva-voce examination shall be notified by the Registrar at least a week in advance. At the time of viva-voce examination, the board of examiners shall be provided the reports of the examiners which shall be returned along with the report of viva-voce examination in prescribed format (**Appendix–XI**) and video Recording of viva-voce examination in the CD/PD form to the Registrar.

(g) The candidate shall present the work embodied in the thesis before the board of examiners, members of faculty, research scholars and other interested persons. After the presentation of the research work, the Board shall ask questions together with those questions which have been given along with examiners reports. Others in the audience can also ask questions and the candidate shall reply only those questions which are permitted by the external examiner.

(h) In case the recommendations of the vivo-voce examiners differ from that of the thesis examiner or there is difference of opinion between the viva-voce examiners, the candidate shall reappear at a second viva-voce examination within six-months. If the candidate fails to satisfy the viva-voce examiners a second time, his/her thesis shall be finally rejected. Such candidates would be required to pay an additional prescribed fee for second viva-voce. The external examiner for second viva-voce shall be appointed by the Vice Chancellor.

30. If the examiners recommend that the candidate be asked to revise/improve his thesis, the candidate has to resubmit his thesis not earlier than six months and not later than eighteen months, the period being counted from the date of the issue of order to the candidate. The candidate shall be provided examiners(s) reports without disclosing the names of the examiners to enable him/her to improve the thesis. All the copies of thesis shall also be returned to the candidate.

In case the candidate is allowed to resubmit the thesis he/she will have to pay a prescribed fee afresh at the time of resubmission, but it shall not be necessary for him to reproduce any certificate of further attendance at the institution at which he/she carried out the work.

The resubmitted three copies with a soft copy in the form of CD/PD of the thesis must make clear mention that it is a revised version.

The thesis shall be got examined as far as possible by the examiners who finally recommended for the revision.

In case both the examiners of revised thesis accepted the thesis for the award then the candidate shall be awarded the Ph.D. degree on successful viva-voce examination as per provisions of clause 29 of the Ordinance.

In case a candidate is asked to revise the thesis under clause 29(b) and one of the examiners recommends again for revision and the other accepts the thesis for award then the thesis shall be sent to the third examiner, if the third examiner rejects or recommends for revision the thesis shall stand rejected. If he accepts for the award then the candidate shall be awarded the Ph.D. degree on successful viva-voce examination as per provision of clause 29 of the Ordinance.

In case a candidate who has been asked to revise the thesis under clause 29 (c) and one of the examiners recommends again for revision and the other accepts the thesis for award then the candidate shall be awarded the Ph.D. Degree on successful viva-voce examination as per provision of clause 29 of the ordinance. If the revised thesis is required to be revised a second time, it shall automatically stand rejected.

31. The thesis shall be published only with the permission of the University and such publication shall state on the title page itself that this was a thesis approved for the award of the Ph.D. degree of the University.

Award of the Degree of Ph.D.:

32. After the viva-voce, the recommendation of the examiners shall be reported to the Executive Council for the award of Ph.D. degree to the candidate. One copy of the thesis will be kept in the University Library and another copy will be kept in the Departmental Library of the Institution where the research work was carried out, the third will be returned to the supervisor. After successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the university shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

33. After the declaration of the result the successful candidates may be provided the copies of reports of examiners who recommended for the award of the degree on payment of prescribe fee. The reports will not be disclosed the identity of the examiners.

34. On detection of any irregularity, the University shall take suitable steps to withdraw the degree as per provisions of University.

The university shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

The University shall issue a certificate to the effect that the Degree has been awarded in accordance with the provisions of the University Grants Commission (Minimum Standards and Procedure for Award of MPhil/PhD Degrees) Regulations, 2016 with amendments of August 27, 2018 and 16 October, 2018. **(Appendix-XII)**

35. Academic, administrative and infrastructure requirement to be fulfilled by the Research Establishments / Colleges for getting recognition as Research Centre:

1. Research establishments/ laboratories of Government of India/ State Government with at least two Ph.D. qualified Scientists / other academic staff along with required infrastructure, supporting administrative and research promotion facilities as per this Ordinance, shall be considered eligible to recognize as research centre through MoU with the concerned institutions.
2. Affiliated Post-graduate colleges may be considered eligible to offer Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per this Ordinance. Colleges should have the necessary recognition of the University under which they operate to offer Ph.D. programme.
3. Post-graduate Departments of the Colleges with at least two Ph.D. qualified teachers in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per this Ordinance, shall be considered eligible to offer Ph.D. programmes.
4. Colleges with adequate facilities for research as mentioned below alone shall offer Ph.D. programme:
 - (a) In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the university with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply.
 - (b) In case of all other disciplines including S&T, earmarked library resources including latest books, Indian and International journals, e- journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials.
 - (c) Colleges may also access the required facilities of the neighboring Institutions/ Colleges, or of those Institutions/ Colleges/ R&D laboratories/ Organizations which have the required facilities.
5. There shall be no financial liability on part of the University with regard to the Research Scholar admitted in the Constituent Colleges of the University.
6. The colleges shall have to apply an application form in prescribed format (**Appendix-XIII**) to the University along with all necessary documents.

36. Treatment of Ph.D. through Distance Mode/Part-time:

University does not conduct Ph.D. Programmes through distance education mode. However, part-time Ph.D. may be allowed provided all the conditions mentioned in the extant Ph.D. Ordinance are met.

37. Award of Ph.D. degree prior to Notification of these Regulations, or degrees awarded by foreign Universities:

Award of degree to candidate registered for the Ph.D. programme on or after July 11, 2009 till the date of Notification of these UGC Regulations 2016 shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009.

If the Ph.D. degree is awarded by a Foreign University, the university considering such a degree shall refer the issue to a Standing Committee constituted by the university for the purpose of determining the equivalence of the degree awarded by the foreign University.

38. Depository with INFLIBNET:

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the university shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.

Prior to the actual award of the degree, the university shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of the UGC Regulations, 2016.

39. If UGC notifies any change in future in its Regulations governing “Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degrees”, then the same will be incorporated in the existing Ordinance with the approval of the Academic Council of the University from the date of publication in Gazette by UGC.

40. The fee structure and remunerations related with Ph.D. programme shall be decided by the Executive Council of the University from time to time.

41. Date of the Award of the Ph.D. Degree:

The date of the award of the Ph.D. degree will be the date of viva-voce conducted in the Department/Research Centre by the panel of examiners.

Its intimation shall be duly and immediately forwarded by the Head of the Department/Research Centre, through the Dean, to the Registrar.

42. Miscellaneous:

- (i)** Notwithstanding anything contained in this ordinance all categories of the candidates shall be governed by the rules and procedure framed by the Academic Council, and are in force at that point of time.
- (ii)** Any doubt or dispute about the interpretation of this ordinance shall be referred to the Vice Chancellor, whose decision, in the capacity as the Chairman, Academic Council, shall be final.
- (iii)** The Vice-Chancellor has been authorized to:
 - (a)** modify, amend and/or delete any of the clauses given in the Ordinance or add any clause(s) to this Ordinance, which shall be reported to the Academic Council at its next meeting for approval.
 - (b)** order a special procedure for the evaluation of a Ph.D. thesis to protect the work classified nature involving security and sovereignty and/or to protect the intellectual property rights of the candidate, the supervisor and the University.
 - (c)** relax any of the provisions laid in this Ordinance for handling circumstances not covered by this Ordinance.
- (iv)** Any legal matter is the subjected to the Prayagraj(Allahabad) Jurisdictions.

प्रो० राजेन्द्र सिंह (रज्जू भय्या) विश्वविद्यालय, प्रयागराज

पीएचडी पाठ्यक्रम प्रवेश में पंजीयन हेतु आवेदन पत्र

विषय.....संकाय.....

स्वयं सत्यापित
छाया चित्र

शोधार्थी का विवरण

1. आवेदक का पूरा नाम (हिन्दी में).....
(अंग्रेजी के बड़े अक्षरों में).....
2. आवेदक का स्थाई पता.....
3. पत्र व्यवहार हेतु पता.....
4. मोबाइल न० / ई-मेल आईडी.....
5. पिता का नाम / पति का नाम.....
(विवाहित महिला होने की अवस्था में)
6. माता का नाम.....
7. नागरिकता.....
8. लिंग 9. रक्त समूह
10. विश्वविद्यालय का नामांकन क्रमांक
11. (क) यदि किसी स्थान/उपक्रम में कार्यरत
हो तो उसका विवरण.....
(यदि (क) की जानकारी हां में है तो नियोक्ता
का अनापत्ति प्रमाण-पत्र संलग्न करें।)
12. (क) क्या आवेदक उ.प्र. की अनुसूचित जाति / हां / नहीं.....
अनुसूचित जनजाति / अन्य पिछड़ा वर्ग
(क्रीमीलेयर को छोड़कर) / निशक्तजन प्रवर्ग से है।
(ख) यदि हाँ तो किस प्रवर्ग का है?
(प्रमाण पत्र की स्वयं सत्यापित प्रति संलग्न करें)

13. आवेदक की शैक्षणिक योग्यताएं :

क्रमांक	परीक्षा का नाम	शिक्षा बोर्ड / विश्वविद्यालय का नाम	वर्ष	ग्रेड / प्राप्तांक प्रतिशत	श्रेणी	विषय
1	सेकंडरी / हाई स्कूल					
2	हायर सेकंडरी / इंटरमीडिएट					
3	स्नातक					
4	स्नातकोत्तर					
5	एम. फिल.					
6	अन्य					

14. शोध कार्य के लिए प्रस्तावित शीर्षक

(Topic of Proposed Research Work)

.....

(अगर शोधार्थी ने उक्त शीर्षक पर कुछ शोध कार्य

.....

किया हो तो उसका विवरण सहित प्रकाशित शोध

.....

पत्रों की प्रतियां संलग्न करें।)

15. क्या आवेदक अन्य किसी उपाधि के लिये संस्थागत /

हां / नहीं.....

असंस्थागत रूप से इस विश्वविद्यालय अथवा अन्य

.....

विश्वविद्यालय द्वारा आयोजित किसी अन्य परीक्षा में

.....

सम्मिलित हो रहा है। यदि हां तो उसका पूर्ण विवरण

.....

शोध केन्द्र का प्रमाण-पत्र

यह प्रमाणित किया जाता है कि, उपरोक्त शोधार्थी के शोधकार्य से सम्बंधित पूर्ण सुविधाएं इस संस्था में उपलब्ध है और उन्हें शोध कार्य करने हेतु सुविधाएं उपलब्ध कराई जावेगी। यह संस्था शोध कार्य के लिए विश्वविद्यालय से मान्य है। अनुशंसा सहित आवेदन पत्र अग्रेषित किया जाता है।

प्रमाणित किया जाता है कि उपरोक्त शोधार्थी ने संस्था में निर्धारित शुल्क जमा कर दिया है:

जिसकी प्राप्ति रसीद क्रमांक दिनांक है एवं रसीद की प्रति आवेदन के साथ संलग्न है।

दिनांक

(शोध केन्द्र प्रमुख के हस्ताक्षर)
नाम एवं मुद्रा सहित

शोध निर्देशक/सह-निर्देशक का अनापत्ति प्रमाण पत्र

मैं आवेदक को उनके शोध कार्य में मार्गदर्शन प्रदान करने के लिए सहमत हूँ। मेरे निर्देशन/सहनिर्देशन में कुल शोधार्थी पंजीकृत हैं।

शोध निर्देशक/सह-निर्देशक के हस्ताक्षर

शोधार्थी का घोषणा-पत्र

मैं एतद् द्वारा यह घोषणा करता/करती हूँ कि इस आवेदन पत्र की प्रविष्टियों एवं दी गई जानकारी सत्य हैं। यदि पीएचडी0 उपाधि प्राप्त होने के पूर्व अथवा पश्चात् कोई जानकारी असत्य पाई जाये तो विश्वविद्यालय द्वारा मेरे विरुद्ध कार्यवाही की जा सकती है, जो मुझे मान्य होगी। मैं यह भी अभिवचन देता/देती हूँ कि मैं उक्त प्रस्तावित शोध कार्य के अतिरिक्त इस विश्वविद्यालय या अन्य किसी भी विश्वविद्यालय में स्वाध्यायी अथवा नियमित छात्र के रूप में न ही शोधरत हूँ और न ही किसी अन्य प्रमाणपत्र पाठ्यक्रमों को छोड़कर सम्मिलित हो रहा/रही हूँ। यदि ऐसा पाया जाता है तो मेरा पंजीयन निरस्त कर दिया जाए।

आवेदक के हस्ताक्षर

आवेदक का नाम

ई-मेल.....

मोबाईल.....

संलग्न प्रमाण

1. निर्धारित प्रपत्र में पूर्ण आवेदन-पत्र।
2. शोध केन्द्र में जमा शुल्क की रसीद की फोटोप्रति।
3. विश्वविद्यालय केन्द्रीय पुस्तकालय शुल्क की जमा रसीद की प्रति।
4. यदि शोधार्थी किसी संस्था/उपक्रम में कार्यरत है तो अनापत्ति प्रमाण-पत्र।
5. मार्कशीट/ग्रेडशीट की स्वयं सत्यापित प्रतियाँ।
6. यदि शोधार्थी अनुसूचित जाति/अनुसूचित जनजाति/अन्य पिछड़ा वर्ग क्रीमीलेयर को छोड़कर/निशक्तजन प्रवर्ग से हैं, तो सक्षम अधिकारी के प्रमाण-पत्र की स्वयं सत्यापित प्रति।
7. अन्तराल सर्टीफिकेट।
8. प्रवजन प्रमाण-पत्र अन्य विश्वविद्यालय के लिये।

-----Name of the University-----

University
Logo

NAAC ACCREDITED “---” GRADE

Website: _____

Ph.D. COURSE WORK - GRADE SHEET & CERTIFICATE

Name & Address of the Department/ Research Centre where Ph.D. Course Work is Conducted	:	
---	---	--

Name of the Student	:	
Father's/ Husband's Name	:	
Mother's Name	:	
Enrolment No.	:	
Roll No.	:	
Academic Session	:	
Semester	:	
Examination Month & Year	:	

Course Code	Course Title	Credits	Grade	Grade Point	Credit Points (Credits x Grade Point)
	Research Methodology	4			
	Review of Published Research	3			
	Computer Applications	3			
	Title of the Advanced Course	3			
	Comprehensive Viva-Voce	3			
TOTAL		16	-		
ATTEMPT				SGPA	
RESULT		EQUIVALENT PERCENTAGE		DIVISION	

* Grade in Repeat Examination.

Equivalent Percentage=SGPAx10

This is certified that Mr./Ms./Mrs._____ has successfully completed the Ph.D. Course Work as per UGC Regulations, 2016 and the Ph.D. Ordinance of the University.

Date of Result:

Co-ordinator	Head/ Director	Controller of Examinations
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PROFORMA FOR SYNOPSIS OF PROPOSED RESEARCH (Para 12)

The cover page should contain information of proposed research topic, name of the research scholar, name and affiliation of the supervisor and / or co-supervisor, and research centre.

The synopsis should contain following information:

1. Title of the Proposed Research
2. Introduction giving purpose of research (in about 200 words)
3. Literature Review
4. Objectives/hypothesis
5. Methodology
6. Expected outcome
7. Bibliography (in standard format)
8. List of publications of the candidate

Signature of the Candidate

Signature of the Supervisor
Name of the Supervisor:
Date:

Signature of the Co-Supervisor
Name of the Co-Supervisor:
Date:

Forwarded by
Head of the Department/ Research Centre
(with seal)

Date:

प्रो० राजेन्द्र सिंह (रज्जू भय्या) विश्वविद्यालय, प्रयागराज

शोध-निर्देशक के रूप में अनुमोदन हेतु आवेदन पत्र

संकाय.....

1. विषय/विभाग/शोध केन्द्र
2. नाम (हिन्दी में).....
(अंग्रेजी के कैपिटल लेटर में).....
3. जन्म तिथि.....
4. पुरुष/महिला.....
5. पता (स्थायी).....
.....
.....
6. पत्र व्यवहार का पता (पिनकोड सहित).....
.....
.....
मोबाईल/दूरभाष नं०..... ई-मेल.....
7. विश्वविद्यालय/महाविद्यालय का नाम एवं पता
- नियुक्ति तिथि
- वर्तमान पद एवं विभाग.....
8. प्राप्त शोध उपाधि का विवरण (उपाधि पत्र की स्वप्रमाणित प्रति संलग्न करें)
शोध उपाधि का नाम (पी०-एच० डी०, डी०फिल्०, आदि).....विषय.....
शोध-प्रबन्ध का शीर्षक.....
.....
शोध उपाधि प्राप्त करने का वर्ष.....
विश्वविद्यालय/संस्थान जहाँ से शोध उपाधि प्राप्त की.....
.....
9. शिक्षण कार्य का अनुभव
स्नातक या समकक्ष, संस्थान अवधि
- स्नातकोत्तर या समकक्ष, संस्थान अवधि
10. सम्बन्धित विषय में शिक्षण एवं शोधकार्य में विशेषज्ञता का क्षेत्र.....
.....
11. संदर्भित शोध पत्रिकाओं में प्रकाशित शोध पत्रों की संख्या.....
(कृपया शोध पत्रों की सूची एवं स्वप्रमाणित छायाप्रतियाँ संलग्न करें।)

फोटो
स्वहस्ताक्षरित

12. अनुसंधान संस्थान/परियोजना में कार्यानुभव का विवरण (प्रमाणपत्र की स्वहस्ताक्षरित छायाप्रति संलग्न करें)

संस्थान/परियोजना का नाम.....

पद का नाम जिस पर कार्यरत थे.....

कार्यावधि (कब से कब तक).....

13. यदि पूर्व में किसी अन्य विश्वविद्यालय में शोध-निर्देशक के रूप में अनुमोदित थे तो अनुमोदन/प्रमाणपत्र की स्वहस्ताक्षरित छायाप्रति एवं शोध निर्देशक के रूप में निष्पादित कार्यों का विवरण संलग्न करें।

नोट : मानित विश्वविद्यालय का कोई भी नियमित रूप से नियुक्त आचार्य जिसने किसी संदर्भित पत्रिका में कम से कम पांच शोध प्रकाशन प्रकाशित किए हैं और विश्वविद्यालय/मानित विश्वविद्यालय संस्थान/महाविद्यालय का कोई नियमित सहयुक्त/सहायक आचार्य जो पीएचडी उपाधि धारक हो तथा जिसके संदर्भित पत्रिकाओं में कम से कम दो शोध प्रकाशन प्रकाशित किए हों उसे शोध पर्यवेक्षक के रूप में मान्यता प्रदान की जा सकती है। विश्वविद्यालय अनुदान आयोग (एम0फिल0/पीएचडी0 उपाधि प्रदान करने हेतु न्यूनतम मानदंड और प्रक्रिया विनियम, 2016 एवं संशोधित 2018)

अभ्यर्थी का प्रमाणपत्र

मैं प्रमाणित करता/करती हूँ कि उपरोक्त समस्त विवरण/सूचनाएँ सत्य हैं। इनमें किसी भी सूचना/विवरण के असत्य पाये जाने पर मेरा अभ्यर्थन निरस्त किया जा सकता है।

(अभ्यर्थी के हस्ताक्षर एवं दिनांक)

- संलग्नकों की क्रमवार सूची

(विभागाध्यक्ष/शोध केन्द्र प्रमुख के हस्ताक्षर, सील सहित)

CONFIDENTIAL (Para 20a)
PROFORMA FOR PROGRESS REPORT

Six monthly progress report of the research work done during the period from _____ to _____

1. Name of the Research Scholar: _____

2. Subject: _____

3. Topic registered for Ph.D. degree: _____

4. Name of the Supervisor: _____

5. Name of the Co-Supervisor: _____

 Description of the guidance on the topic:

Period with dates during which the research scholar has been with the Supervisor for research work (it may also indicate the date(s) of leave availed by the research scholar during the above period):

 Remarks of the Supervisor on the work done by the research scholar:

Fees paid vide Receipt No. _____ date _____.

Signature of the Supervisor



Department/Research Centre of
 Prof. Rajendra Singh (Rajju Bhaiya) University, Prayagraj

CERTIFICATE OF PRE-SUBMISSION SEMINAR

This is to certify that Sri/Smt/Km....., a bonafide research scholar of this Department/Research Centre, has given his/her pre-submission presentation on at am/pm in the Department/Research Centre relating to his/her topic:..... under the supervision of Prof./Dr. for his/her Ph.D. Degree. His/her presentation was satisfactory and up to the mark. All members of the Department/Research Centre unanimously recommend that the thesis of Sri/Smt/Km is suitable for submission.

Date:.....

Place:.....

Supervisor

Head of the Department/Research Centre
 (Seal)



Prof. Rajendra Singh (Rajju Bhaiya) University, Prayagraj.

APPLICATION FOR SUBMISSION OF DOCTORATE THESIS

(To be filed up by the application)

Full Name of the Applicant

(in Block Letters)

Full address of the applicant

Date of admission and Enrolment No.

Department/ Research Centre

Title of thesis for Ph.D.

Name of Supervisor/Co-supervisor

Paid Thesis Examination Fee Rs..... Vide receipt No. Date

self-attested
Photograph

Date

Signature of applicant

1. This is to Certify that Sri/Km/Smt.Completed minimum attendance as maintained in the Attendance Register for submitting his/her thesis for the Degree of Doctor of Philosophy in and I recommend that his/her thesis may be accepted for evaluation. He/she has no dues in the Department/Research Centre and has submitted a soft copy of the thesis in the Department/ Research Centre.
2. He/she has deposited all the items/assets acquired from the contingency in the Department.

SUPERVISOR

HEAD OF THE DEPARTMENT

DEAN/DIRECTOR

(SEAL)

(SEAL)

This is to certify that Sri/Km/Smt. has paid all the dues up to and exempted by the Vice-Chancellor from Payment of further fees and there is no dues against him/her.

ASSISTANT REGISTRAR (ACCOUNT)

This is to certify that Sri/Km/Smt. has no dues in the Library. He/she has submitted a soft copy of thesis in the Library.

CENTRAL LIBRARY

Documents to be submitted with Thesis and Submission Form

1. Four hard copies of thesis along with Synopsis which will include:

- Candidate's Declaration
- Certificate from Supervisor/Co-Supervisor
- Certificate of successful completion of Course Work.
- Certificate of Plagiarism check
- Two published research papers in journals (at least one in refereed journal)
- Two seminar certificates.
- No Dues certification from UTDs/Research Centre/ Account Office/ Central Library on the thesis submission form.

2 . Soft copy of abstract and Thesis along with the listed documents in CD or Pen Drive.

3 . Thesis evaluation fee receipt in original.

4 . Photocopy of fee receipts of every semester.

5 . Extension Letter (if applicable).

6 . Photocopies of marksheets of high school to Master's degree.

7 . Self-attested photograph on the thesis submission form.

DECLARATION BY THE RESEARCH SCHOLAR (Para 24 b)

I declare that

1. the research work presented in the thesis entitled _____
_____ is
my own work except as acknowledged in the text and footnotes/endnotes.
2. there is no plagiarism in the research work reported in the thesis.
3. I completed the research work under the supervision of Dr. _____
(Supervisor) and Dr. _____ (Co-Supervisor) at the _____ (name of
the centre) _____ approved by the University.
4. I have put in more than 200 days of attendance after completing Ph.D. course work with the
Supervisor or at the centre.
5. to the best of my knowledge this thesis has not been submitted either in whole or in part, for award
of any other degree/ diploma at this University or at any other such Institution.

Besides this-

- (i) I have successfully completed the Ph.D. Course work as per UGC-Regulations, 2016 norms.
- (ii) I have also given a pre-Ph.D. presentation and successfully incorporated the changes suggested
on the basis of feedback and comments received.
- (iii) I have published ____ (number) ____ research paper(s) in referred journal(s) and presented
____ (number) ____ research papers in conferences / seminars from the research work of the thesis.
I have also produced evidence of the same in the form of reprints and/or presentation certificates.

Date: _____

Signature of the Research Scholar

Forwarded by

Signature of the Supervisor

Signature of the Co-Supervisor

CERTIFICATE OF THE SUPERVISOR (Para 24 c)**CERTIFICATE**

This is to certify that the work entitled _____ is a piece of research work done by Shri/Smt./Km. _____ under my/our supervision for the award of degree of Doctor of Philosophy of..... Name of the University....., India. That the candidate has put in an attendance, of more than 200 days after completing Ph.D. course work, with me.

To the best of my knowledge and belief the thesis:

1. embodies the research work done by the candidate himself/herself.
2. has duly been completed.
3. fulfills the requirements of the Ordinance relating to the Ph.D. degree of the University, and
4. is upto the standard both in respect of contents and language for being referred to the examiner.

Signature of the Supervisor

Signature of the Co-Supervisor

Forwarded

Signature of Head of the Department /Research Centre

PROF. RAJENDRA SINGH (RAJJU BHAIYA) UNIVERSITY, PRAYAGRAJ**REPORT OF THE EXAMINER OR EXAMINERS OF THE THESIS OF THE CANDIDATE FOR THE Ph.D. DEGREE**

1. Name of the candidate.....
2. Academic unit of the enrolment of the candidate for the Ph.D. degree:
The Department of under the Faculty of.....
3. Title of the thesis submitted by the candidate:
.....

PART I

I/We have examined the thesis for the degree of Doctor of Philosophy submitted by the candidate named above on the topic set out above, and have to report:

[Strike out two from amongst (A),(B) and (C)]

(A) That the thesis submitted by the candidate —

- (i) is a piece of research work characterized by the discovery of facts or by a fresh approach towards interpretation of facts or theories;
- (ii) evinces the capacity of the candidate for critical examination and judgment;
- (iii) is satisfactory so far as its literary presentation is concerned; and
- (iv) is suitable for publication as a thesis approved for the degree of Doctor of Philosophy of the University of Allahabad.

(B) That the thesis submitted by the candidate deserves to be re-submitted in a revised form.

(C) That the thesis submitted by the candidate deserves to be rejected without further assessment.

PART II

(Concise statement of the examiner or examiners on the grounds for the report recorded in Part I).

PART III

I/We recommend-

[Strike out two from amongst (a), (b) and (c)]

- (a) That the thesis be approved for the degree of Doctor of Philosophy, subject to the recommendation of the viva voce examiners.
- (b) That the candidate be required to re-submit his thesis in a revised form, within the prescribed period, after the communication to him of the lines on which the revision of his thesis is recommended.
- (c) That the thesis be rejected without further assessment, and the degree of Doctor of Philosophy be not conferred on the candidate.

.....
Examiner/Examiners of the Thesis

PROF. RAJENDRA SINGH (RAJJU BHAIYA) UNIVERSITY, PRAYAGRAJ
REPORT OF THE VIVA VOCE EXAMINERS OF THE CANDIDATE FOR THE Ph. D. DEGREE

1. Name of the candidate.....
2. Academic unit of the enrolment of the candidate for the Ph.D. degree:
The Department of..... under the Faculty of.....
3. Title of the thesis submitted by the candidate
.....

PART I

We have read the thesis for the degree of Doctor of Philosophy submitted by the candidate named above on the topic set out above and perused the reports of the other examiner or examiners of the said thesis, and have examined the said candidate viva voce, and have to report:

[Strike out either(A) or (B)]

(A) That we are satisfied that the candidate —

- (i) is well acquainted with the literature on the subject;
- (ii) evinces a good understanding of the topic of his/her research and the thesis is genuinely his/her own work; and
- (iii) has an appropriate comprehension of the broad field of his/her study.

(B) That the candidate has not satisfied us —

[Strike out whichever is inapplicable from amongst (i), (ii), (iii) and (iv)]

that he is well

- (i) acquainted with the literature on the subject.
- (ii) in respect of the basis of one or more of the conclusions he/she has drawn from the investigations reported in the thesis.
- (iii) as to the originality of one or more parts of the thesis.
- (iv) [state any other ground that merits reporting]

PART II

(Concise statement of the examiners on the grounds for the report recorded in Part I).

Part III

We recommend —

[Strike out two from amongst (a), (b) and (c)]

- (a) That the degree of Doctor of Philosophy be conferred on the candidate.
- (b) That the degree of Doctor of Philosophy be not conferred on the candidate for the time being, and he/she be required to re-appear at the viva voce examination within the prescribed period.
- (c) That the degree of Doctor of Philosophy be not conferred on the candidate for the time being, and the other examiners be requested to reconsider their reports on the thesis in view of the grounds recorded in Part II of this Report.

.....
Viva-voce Examiners

No.....

LOGO

Appendix-XII

PROF. RAJENDRA SINGH (RAJJU BHAIYA) UNIVERSITY, PRAYAGRAJ

**CERTIFICATE AS PER THE UNIVERSITY GRANTS COMMISSION REGULATION
(MINIMUM STANDARDS AND PROCEDURE FOR AWARD OF PhD DEGREE) REGULATIONS, 2016
WITH AMENDMENTS OF AUGUST 27, 2018 and OCTOBER 16, 2018)**

TO WHOM IT MAY CONCERN

This is to certify that Mr./Ms.....Son/daughter of
Enrolment No..... was awarded Ph.D. degree in the subject ofby this University in the
year..... as per the University rules/ records, his/ her Ph.D. degree fulfils criteria suggested by UGC
Regulations 2016 with amendments of 27 August, 2018 and October 16, 2018.

The Ph.D. degree awarded to him/ her is as per the U.G.C. notification no. f.1-2/2017 (ES/PS) dated 18-07-2018
for grant of exemption to registered M.Phil./Ph.D. programme prior to July 11, 2009 according to U.G.C.
notification of "Minimum Qualification for recruitment/appointment of teachers (Assistant Professor) and other
academic staff in Universities and Colleges/Institutions measures for the maintenance of standards in higher
education U.G.C. Regulations 2018".

Title of Thesis:

a.	THE Ph.D. DEGREE OF THE CANDIDATE HAS BEEN AWARDED IN A REGULAR MODE	YES/NO
b.	THE Ph.D. THESIS HAS BEEN EVALUATED BY AT LEAST TWO EXTERNAL EXAMINERS.	YES/NO
c.	AN OPEN Ph.D. VIVA VOCE OF THE CANDIDATE HAS BEEN CONDUCTED	YES/NO
d.	THE CANDIDATE HAS PUBLISHED TWO RESEARCH PAPERS FROM HIS/HER Ph.D. WORK, OUT OF WHICH AT LEAST ONE IS IN A REFEREED JOURNAL	YES/NO
e.	THE CANDIDATE HAS PRESENTED AT LEAST TWO RESEARCH PAPERS BASED ON HIS/HER Ph.D. WORK IN CONFERENCES/SEMINARS SPONSORED/FUNDED/SUPPORTED BY THE U.G.C./ICSSR/CSIWAICTE OR ANY SIMILAR AGENCY.	YES/NO

Date:

Place:

Registrar



PROF. RAJENDRA SINGH (RAJJU BHAIYA) UNIVERSITY, PRAYAGRAJ

APPLICATION FOR THE RECOGNITION AS A RESEARCH CENTER FOR Ph.D. PROGRAMME

To,

The Registrar,

Prof. Rajendra Singh (Rajju Bhaiya)

University, Prayagraj – 211010 (UP)

1. Name of the College/Institute: _____
2. Year of Establishment of the Department (Specify the subject): _____
 a) P.G. Department : _____
3. Type of Affiliation: Permanent / Temporary (Duration) : _____
4. Whether the College/Institute is under section 2 (f) & 12(B) of the UGC Act 1956? : Yes/No
5. Whether the College / Institute is accredited by NAAC/NBA? : Yes / No
 Date of accreditation / reaccreditation: _____ Grade/Rank.....
6. Details of Master programmes, being conducted in Your Institution, if any. (Please list all the courses)

List of postgraduate courses:

S No.	Name of the PG course	Year of starting	Regular/Self-finance	Affiliated to (name of the university, if applicable)
1				
2				
3				

7. Subject in which the recognition is sought : _____ Faculty: _____

8. Number of Teachers / Scientists with Ph.D. Degree: _____

9. Faculty available in your institution, for guiding research Scholars:

Faculty eligible to guide Ph.D. (Please include only those who are approved as a guide)

S No	Name, Degrees, Designation	Full Address	Mobile & Email id	Is he / she Already approved as a guide? (Kindly enclose documentary evidence)
1				Yes / No
2				Yes / No

10. Infrastructural facilities exclusively available for Ph.D. Programme:

- a. Space of the Research Laboratory in Sq. Meter : _____

Expected Intake Capacity: _____

b. Number of sophisticated instruments

c. **Library facilities:**

Total Space for Research Scholars: _____

Working Hours: _____

Details of Reference Books and Journals exclusively available for Ph.D. Programme: (attached a detail list)

i) No. of Titles in the concerned subject : _____

ii) No. of Journals in the concerned subject : _____

iii) No. of e-Journals in the concerned subject : _____

iv) e-Library in the concerned subject : _____

d. **ICT facilities:**

i) No. of PCs (Latest configuration)
with Internet connectivity (exclusively for research students) : _____

ii) Speed of internet Connectivity (in Mbps) : _____

iii) Essential Legal Software : _____

iv) UPS Facility : _____

e. Administrative and Research Promotional Facilities:

Date: _____

Place: _____

(Signature & Name of the Head/Principal)

Note: Attach the attested photo copies and list of the relevant documents.

GUIDELINES FOR THE RECOGNITION
AS A RESEARCH CENTER FOR Ph.D. PROGRAMME

1. Research establishments/ laboratories of Government of India/ State Government with at least two Ph.D. qualified Scientists / other academic staff along with required infrastructure, supporting administrative and research promotion facilities as per this Ordinance, shall be considered eligible to recognize as research centre through MoU with the concerned institutions.

2. Affiliated Post-graduate colleges may be considered eligible to offer Ph.D. programmes only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per this Ordinance. Colleges should have the necessary recognition of the University under which they operate to offer Ph.D. programme.

3. Post-graduate Departments of the Colleges with at least two Ph.D. qualified teachers in the Department concerned along with required infrastructure, supporting administrative and research promotion facilities as per this Ordinance, shall be considered eligible to offer Ph.D. programmes.

4. Colleges with adequate facilities for research as mentioned below alone shall offer Ph.D. programme:

(a) In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the university with provision for adequate space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply.

(b) In case of all other disciplines including S&T, earmarked library resources including latest books, Indian and International journals, e- journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials.

(c) Colleges may also access the required facilities of the neighboring Institutions/ Colleges, or of those Institutions/ Colleges/ R&D laboratories/ Organizations which have the required facilities.

5. There shall be no financial liability on part of the University with regard to the Research Scholar admitted in the Affiliated Colleges of the University.

6. The colleges shall have to apply an application form in prescribed format (Appendix-XIII) to the University along with all necessary documents.

